

MINISTRY OF EDUCATION AND TRAINING

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**IMPLEMENTING POVERTY REDUCTION POLICIES IN  
NORTH-WEST PROVINCE TOWARDS 2020**

**Major: Public Administration Management**

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**PHD THESIS SUMMARY**

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## INTRODUCTION

### 1. The reason for choosing this topics

Northwest, has a very important position both in political, economic, social, environmental and national security aspect of the country. But this is also the area with rugged alpine terrain, difficult transportation, economic infrastructure communes – and socially underdeveloped compared with other regions in the country.

In the region, the poverty rate varies between 4 provinces, Dien Bien province has the highest poverty rate and slow pace of poverty reduction. Northwest is the place of the majority living of ethnic minorities which accounted for 80.56% ratio of the total population. Comparing the level of poverty of ethnic groups with ethnic Kinh, the poverty levels of ethnic minority groups have higher rates shelves from 50% to 250%. That is just 39% of Kinh ethnic groups of the poor, the poor will be 58% for the Tay, Dao and 89% to nearly 100% with Mongolia. Expenditure of poor households DTTS also draw only 60% of poor households. On the other hand, the eastern region has specific ethnic minority community living with nomadic lifestyle, customs and practices of multi-ethnic diversity. Backward production level is mainly self-sufficient, productive labor practices of the people are slowly changing, self-produced agricultural products on their own, slowly to adapt to the economic mechanism market. Obviously, the issue of poverty reduction in Northwest is facing many difficulties, with specific characteristics of the region due to unfavorable geographical location, population distribution, the culture and customs, lifestyles ...

The fact shows that in order to achieve the goal of poverty reduction policies in the Northwestern province is a very difficult task, and this is one of the obstacles, major challenges for sustainable development of the whole sesame.

So the thesis *"Implementing policies for poverty reduction in the Northwestern Province until 2020"* with the desire to shed light on theoretical issues and practical policy implementation process of poverty reduction in the Northwestern province from which to build solutions to improve results in the implementation of policies for poverty reduction in the northwestern provinces of our country in the future.

### 2. Purpose and research tasks

#### 2.1. Research purposes

Thesis wants to achieve the following three main purposes:

- Research and systematization of the theoretical issues and practical implementation of the policy on poverty reduction in the northwestern provinces of our country;
- Assessment of the status process of implementing poverty reduction policies in the Northwestern province and indicates the results at each step in the process of implementing poverty reduction policies in the northwestern provinces of Vietnam;
- Develop systems solutions to improve results to take steps in the process of implementation of poverty reduction policies in the northwestern provinces of our country in the period from now to 2020 and subsequent years.
- Make recommendations to the state bodies about competent policy mechanisms for implementing policies for poverty reduction and effective results on the northwestern provinces.

#### 2.2. Research Tasks

To achieve this purpose, the thesis posed the following basic tasks:

- Studying overview of the research situation of domestic and foreign authors on matters related to the thesis;

- Clarifying the theoretical issues and practical implementation of policies for poverty reduction which focuses research on the effects of poverty to economic and social development, the role of the state in poverty reduction. Studying on how theoretical framework implementing poverty reduction policies, organizational models implemented poverty reduction policies in our country today. The analysis identifies the factors that influence the process of implementing poverty reduction policies in Vietnam, the experience at home and abroad about poverty reduction in the Northwest can learn, apply in implementing poverty reduction policies;

- Conducting a survey, investigation, gathering and synthesizing information to cater for the situation analysis implementation of poverty reduction policies in the northwestern provinces of Vietnam (on the basis of steps in process of implementing poverty reduction policies in four provinces, Dien Bien, Son La, Lai Chau and Hoa Binh)

- Research, analysis and evaluation of the advantages and limitations of the steps implementing the policy in the Northwest, the advantages and disadvantages of poverty reduction to propose new solutions to enhance organizational results implement policies for poverty reduction in the north-western province of our country by 2020 and subsequent years.

### **3. Object and scope of research**

#### **3.1. Research subjects**

- The object of the dissertation research is the process of implementing poverty reduction policies, which focus to examine the steps in the process of implementing poverty reduction policies in the northwestern provinces of our country.

#### **3.2. Research scope**

##### *- Content*

+ Based on the results from the inheritance study by scholars at home and abroad on policy implementation, the author builds a theoretical framework for policy implementation processes for poverty reduction as the basis for assessment the process of implementing poverty reduction policies in the Northwestern provinces

+ Based on the theoretical framework of the process of policy implementation, thesis focuses on analyzing and assessing the situation in the implementation of policies for poverty reduction in the northwest provinces of Vietnam from the theoretical framework of the steps in the process of policy.

+ Study and propose solutions to improve the performance of process steps in implementing poverty reduction policies in the northwestern provinces of our country by 2020.

##### *- Regarding space.*

The thesis focuses on the study of the process of implementing poverty reduction policies in the Northwest include the 4 provinces of Hoa Binh, Son La, Dien Bien, Lai Chau

##### *- About time:*

Look at the status process implementation of poverty reduction policies in the northwestern provinces of our country in the period from 2000 to present (according to a comprehensive strategy for growth and poverty reduction has been Prime Minister for approval May 21, 2002 as well as the national target program on sustainable poverty reduction period 2012 to 2015 and poverty reduction strategy for 2010-2020)

### **4. Methodology and Research Methods**

#### **4.1. Methodology**

#### **4.2. Research Methods**

The specific research methods used include:

- Methodology document, document
- Investigate and fieldwork.
- Methods of descriptive statistics
- Expert method

## **5. Contribute new things of the thesis**

### **5.1. Theoretical**

- Research on poverty reduction policies and implementing poverty reduction policies can be approached from many different angles of the various sciences, but the thesis implement poverty reduction policies in the northwestern province until 2020 have access under science angle of Public Administration, so the thesis focused research, analyzes, assessing the situation and interpretation solutions to improve results to take steps in the process implementing policies Poverty Reduction in the Northwest Province. This contribution enables the researchers, the new organizational approach to implementing poverty reduction policies in terms of managing public administration.

- The thesis focuses on system fundamentally, there are scientific bases and complementary concepts, connotations of implementing poverty reduction policies. Systematize and clarify the theoretical issues and practical implementation of poverty reduction policies which indicates the steps in the process of implementing poverty reduction policies in the Northwestern provinces. This contribution helps to research on policy with specific objects is poor, poverty associated with particular regions are Northwest.

- The dissertation provides information on the status of poverty in the Northwestern provinces on the basis of the system, analyze, synthesize and identify specific characteristics of poverty in the Northwestern provinces. General thesis views and requirements of the Party and the State on the implementation of poverty reduction policies and on that basis propose solutions to organize the implementation of policies for poverty reduction and achieve effective results. This contribution aims to help local authorities in the region have ways of implementing policies for achieving poverty reduction and effective, in accordance with the actual conditions of their localities.

### **5.2. At a practical level**

- Through the analysis and evaluation of process steps in implementing poverty reduction policies, the thesis will review the practical and address the shortcomings in the organization of policy implementation as well as the cause of the shortcomings in the process of implementing poverty reduction policies, so from this result the thesis draws lessons for policy implementation.

- Based on the status implementing poverty reduction policies in the Northwestern Province, the thesis proposes solutions to implementing policies consistent with the characteristics of the Northwestern contributing to successful poverty reduction policies the industrialization and modernization of the country in 2020, in line with economic conditions for market development and international economic integration of Vietnam.

- The results of the research will become the reference source for those working in policy teaching, for students, especially school students who study public policy at the university and after University of searching, experimenting in the process of learning and research in public policy, including poverty reduction policies.

## **6. Research questions and hypotheses**

### **6.1. Research questions**

The thesis starting from the following research questions:

- Has we had the following the system of theories of policy implementation and policy implementation processes for poverty reduction yet?

- What procedures does the implementation of poverty reduction policies shall comply with? How about the experience of countries in the world and some regions of Vietnam in the implementation of poverty reduction bring what lessons for Vietnam in general and in particular the northwestern province? With the condition of the current Northwestern Province, can we apply the experience of the world and some regions of Vietnam in implementing poverty reduction policies are not?

- Do the solutions to organize the implementation of policies for poverty reduction in the North West now consistent with the reality of the region and have achieved the desired result of state policy and objects or not?

- What are the certain ways to improve the results of implementation of poverty reduction policies in the northwestern province now needs to follow certain ways?

## **6.2. Research hypothesis.**

The thesis was conducted based on the scientific hypothesis follows:

Firstly, the policy of poverty reduction was held in implementation northwestern provinces but not brought results and effectiveness of the state as desired as well as objects of policy. So if poverty reduction policies are implementing scientifically, reasonably and in accordance with practical conditions in the Northwestern, the result will bring higher than the current.

Secondly, the implementation of policies for poverty reduction, has not created strong momentum to accelerate the process of economic development - economic security for Northwestern Province. Therefore, if the implementation of this policy is carried out with the appropriate mechanisms on the basis of rational use of resources, the implementation of policies that will gain better results and more sustainable.

Thirdly, although Northwestern has implemented poverty reduction policies at the same time with other regions of Vietnam but now Northwestern is still considered a region with the highest poverty rate in the country, this phenomenon possible due to the implementation process of implementing appropriate policies and if there is not the right solution will improve results as well as effective implementation of poverty reduction policies in northwestern Vietnam.

## **7. Theoretical and practical meaning of thesis**

### **7.1. Theoretical meaning**

- Thesis quite fully codified theoretical basis to ensure that the scientific basis for the approach, research and commentary on the implementation of poverty reduction policies. The thesis also research and develop a theoretical framework for implementing processes and policies for poverty reduction, determine the basic criteria for evaluating the policy implementation as a basis for poverty reduction and the scientific basis study to assess the status of implementation of poverty reduction policies in the Northwestern province.

- The significance of the thesis argues not only contributes to improving the scientific basis for public administration but also has perfect reasoning, opinions, asks about implementing poverty reduction policies especially in particular areas as our country's Northwest

### **7.2. Practical meaning**

- The results of the thesis will contribute additional scientific base for the Northwestern Province in the implementation of poverty reduction policies meet the requirements for sustainable poverty reduction by 2020 and the following years.

- The results of the study will contribute additional reference source for research and teaching in some universities, the Academy which directly and primarily additional documents, contribute to

improving management system Comments for teaching resources in the education system and retraining of public administration and public policy at the National Academy of Public Administration.

## **8. Structure of the thesis**

Thesis Name: **Implementing policies in the Northwestern provinces XDNG 2020.**

Besides an introduction, table of contents, conclusions and an appendix, the thesis is structured into four chapters as follows:

Chapter 1. Overview of research

Chapter 2. Theory of implementing poverty reduction policies

Chapter 3. Status of implementation of poverty reduction policies in the Northwestern Province

Chapter 4. The views and policy solutions to sustainable poverty reduction in the Northwestern Province 2020

### **Chapter 1:**

#### **OVERVIEW OF THE STUDY**

##### **1.1. The studies related to the subject which have been published at home and abroad**

###### **1.1.1. The study on the implementation of policies**

*1.1.1.1. The study of foreign authors*

*1.1.1.2. The study of local authors*

###### **1.1.2. Studies on poverty reduction policies**

*1.1.2.1. The study of foreign authors*

*1.1.2.2. The study of local authors*

###### **1.1.3. The study on the implementation of policies for poverty reduction in mountainous and ethnic minority**

*1.1.3.1. The study of foreign authors*

*1.1.3.2. The study of local authors*

###### **1.1.4. Studies on evaluation of poverty reduction policies.**

*1.1.4.1. The study of foreign authors*

*1.1.4.2. The study of local authors*

##### **1.2. Some comments on the study**

###### **1.2.1. The study of foreign authors**

*- The study of solving poverty in some regions and countries around the world*

*- The study of organizations and individuals on policies for poverty reduction in Vietnam*

###### **1.2.2. The study of local authors**

*- The study of policies specific poverty reduction.*

*- The study on assessing the implementation of poverty reduction policies.*

*- The study on the implementation of policies for poverty reduction in mountainous areas and areas inhabited by ethnic minorities.*

##### **1.3. These issues needed to focus**

The study of mechanisms and ways to implement policies of poverty reduction in the Northwest is a major flaw to continue research in the following expression;

Firstly, we have not had studies to codify and clarify the theoretical issues and practical implementation of policies for poverty reduction of the country in general and in particular the Northwestern region;

Secondly, these studies have not focused analysis and evaluation processes implementing poverty reduction policies so that only the shortcomings and limitations of the process of implementing poverty reduction policies.

Thirdly, no studies aiming to develop solutions to improve the effectiveness of the steps in the process of implementing poverty reduction policies in order to improve the results of implementation of poverty reduction policies for the North West province.

From an overview of the research situation clues author theoretical and practical study author selection in his thesis to contribute to the successful implementation of the policy objectives of poverty reduction in the province Northwest of Vietnam in the coming time.

### **Summary of Chapter 1**

To solve the problem of poverty, hunger in the world as well as in Vietnam, there have been many studies of a range of authors, authors and abroad. Overview of the study above shows, no studies yet to examine and evaluate the situation implementing poverty reduction policies in the Northwestern province, from which to propose solutions to improve outcomes implementing poverty reduction policies in the northwestern provinces of our country in the future.

From an overview of the research situation for the thesis author hints both theory and practice to study author selection in his thesis to contribute to the successful implementation of the policy objectives of poverty reduction northwestern provinces of our country in the future.

## **Chapter 2:**

### **RATIONALE FOR IMPLEMENTATION**

#### **POVERTY REDUCTION POLICIES**

##### **2.1. Poverty and the role of poverty reduction in the socio-economic development**

###### **2.1.1. The concept of poverty**

###### **2.1.1.1. The notion of world poverty**

Today, most of the international organizations like the World Bank, the United Nations have expanded the concept of poverty to include aspects such as Seebom Rowntree capacity proposed. Accordingly, poverty includes the following basic aspects:

First and foremost is the misery of the material, measured according to an appropriate criteria of income or consumption, in other words, the first aspect of poverty is income poverty. Coming with physical misery is the lack of enjoyment of education and health. Secondly, coming with the risk of vulnerable and at-risk, or the likelihood that an individual household or falling into poverty in terms of income or health. Finally the state has no voice and power of the poor.

With the discovery of poverty is multidimensional aspects including relationships closely to see to solve the poverty problem requires a system of comprehensive policy and synchronized. Pointing out the nature of poverty will be the basis for the country to build a strategy for their appropriate action. Special system solutions are built on the basis of analyzing the nature of poverty will impact multidimensional effectively to this issue.

###### ***2.1.1.2. The concept of poverty in Vietnam***

The concept of poverty in Vietnam is quite various. It is changing and one day closer to the notion of world poverty.

Based on actual living standards and levels of socio-economic development, from 1993 till now there are 6 times MOLISA has announced specific criteria to assess poverty. These criteria also varies according to the investigation period with the changes to the national income.



The poverty line for 2006-2010 was applied according to Decision No. 170/2005 / QD-TTg dated 08/7/2005 of the Prime Minister issued the poverty line applies to the 2006-2010 period, specified persons income after being classified as poor,

- Income per capita for rural areas under VND 200,000 / person / month.
- Income per capita for urban areas under 260.000dong / person / month.

Yet the poverty line on less realistic assessment correct poor. Standard poverty in our country is still very far from the poverty line of the World Bank threshold 1USD / person / day.

Therefore, the proposal dated 30/01/2011 of the Ministry of Labour, the Prime Minister signed Decision No. 09/2011 / QD-TTg on promulgating standards of poor households, poor households applied for 2011-2015 .

In a nutshell, the concept of poverty in Vietnam increasingly reflect the nature of poverty. If the support needs of the poor in the 90s of the 20th century was limited to the need for "food and clothing", then today, the poor also have supported demand for housing, education , health care, culture ... Next to the need to help limit risk, the more important is the right to engage more and more effective in the social activities.

### ***2.1.1.3. The role of poverty reduction to economic and social development***

In the process of socio-economic development, poverty reduction reflected the basic roles:

Firstly, poverty alleviation contributing to stable economic and political, social.

Secondly, poverty alleviation helps the poor segments of the population are aware of the economic and social development as a goal to strive for all people of all different walks.

Thirdly, education and quality of human resources is an important condition decision process of socio-economic development.

Fourthly, poverty reduction will help improve quality of life, quality of care and health protection for poor segments of the population.

Fifthly, support poverty reduction role to develop production, improve production help the poorest households are ethnic minorities themselves capable of searching measures, ways to implement the poverty reduction themselves and their families.

## **2.1.2. Characteristics of poverty in Vietnam and the role of the state in poverty reduction**

### ***2.1.2.1. Characteristics of poverty in Vietnam***

Through research on poverty in Vietnam shows that poverty is a widespread phenomenon, it occurs in both urban and rural areas, plains and mountains. The condition is shown in the following basic characteristics:

Firstly, the poverty prevalent in low-income households and precarious:

Secondly, poverty, hunger is concentrated in areas with difficult living conditions:

Thirdly, poverty is concentrated in rural and urban areas:

Fourthly, the proportion of poor households particularly high in ethnic minority groups:

### ***2.1.2.2. Management role of the state in poverty reduction***

The role of state management of poverty reduction represents the essential content which the state must solve in each historical period and in the process of socio-economic development. The primary role of state management on poverty reduction include:

*Firstly*, evaluation and review of poverty:

*Secondly*, the State identified poverty reduction goals.

*Thirdly*, build institutional policies to address poverty issues.

*Fourthly*, the state determines the resources, especially financial resources and decided coordinating and allocating resources for poverty reduction.

*Fifthly*, the state policy implementation and management of poverty reduction

*Sixthly*, actively direct state regularly monitored to grasp the situation and assess the results of implementing poverty reduction policies to guide the activities of socio-economic development to poverty reduction of actors to ensure objectives based on sustainable development.

## **2.2. Implement policies to reduce poverty**

### **2.2.1. Some concepts**

#### ***2.2.1.1. The concept of poverty reduction policies***

Policies for Poverty Reduction is concretized undertakings party line by the decisions and regulations of the state to resolve the problem of poverty. It reflects the interests and responsibilities of the community, of social groups to influence directly or indirectly to the poor segments of the population, ensuring human rights and social security for the poor and generate growth normal development for the poor as well as for the whole society.

Poverty reduction policies are designed according to a specific structure, including the following basic parts:

- *Scope and object of the policy.*
- *The objective of the policy.*
- *The solution of the policy.*
- *The principles guiding the implementation of policies.*
- *Capital policy implementation.*
- *Agency management and policy implementation.*
- *Duration policy deployment.*

#### ***2.2.1.2. The concept of implementing poverty reduction policies.***

Implement policies for poverty reduction is the whole process of putting policies into social life by a process, strict procedures and agreed to address poverty issues is taking place against a specific object in a range of space and time certain.

### **2.2.2. The parties involved in the implementation of poverty reduction policies**

Poverty reduction policies impact directly or indirectly on the lives of people, involving many different actors in society. Therefore, in the process of organizing the implementation of poverty reduction policies, to mobilize the participation of the parties in the process of policy implementation. The parties involved in the process of implementing poverty reduction policies include; agencies within the state apparatus, political organizations, socio-political organizations, the mass organizations, officials, state employees and other authorized individuals and citizens in the commune opportunity ... to put policy into practice.

#### ***2.2.2.1. The subject policy implementation.***

The subject implementing poverty reduction policies is the agency of the State apparatus from the central down to local levels, which are mainly the agencies in the state administrative apparatus with cadres and civil servants State administrative authority. In the process of policy implementation, the agencies in the state administrative apparatus regulatory role, the orientation of the policy implementation activities with its management tools, to help this process always keep abreast of policy objectives.

The government agencies involved in the policy implementation process include

Firstly, the Government: Government as state administrative bodies highest performing executive power, the executive body of the National Assembly, the Government has the authority to promulgate policies but also the bodies responsible for organizing the implementation of policies at the central level.

Secondly ministries, ministerial-level agencies: The location is an agency of the Government, performing the function of State management over branches and domains assigned, responsible for implementing the policies by Parliament and government issues related to the sectors under its management.

Thirdly, the People's Councils at all levels: Councils As bodies of state power in the local people's council decided on policy, important measures to develop the potential of local, building and development Local economy - society, strengthening of defense and security, constantly improving the material life and spirit of the local people, rounding local obligations towards the country. Inside

Fourthly Committee at all levels: the people's committee responsible for implementation of the Constitution, the law, the documents of superior state agencies and resolutions of People's Councils of the same level to ensure the implementation of policies and measures economic development measures - social, strengthening national defense and security and the implementation of other policies in the province. Inside

During the implementation of poverty reduction policies, systems of state agencies involved in the process of implementing poverty reduction policies will conduct its management based on the following:

- Identify the agencies involved in the implementation of poverty reduction policies from the central to local levels.

- Identify the agency role in the unified management throughout the country, the agency served as focal aggregates information

- Establish a coordination mechanism among agencies tasked to organize the implementation of policies from the central to the local

- Provide human resources, financial, technical facilities for the agency in the process of implementing poverty reduction policies in accordance with the approved plan

- Supervise the implementation of poverty reduction policies for timely adjustment when deviations occur, and also monitor and supervise the implementation of policies.

#### **2.2.2.2. Actors to coordinate policy implementation**

Actors to coordinate policy implementation are varied, plentiful, can be organizations or individuals in society.

In our country today, a number of participating organizations to coordinate implementation of policies include:

Firstly, the socio-political organization. It's Vietnam Fatherland Front and its member organizations: trade unions, farmers, women, youth, Veterans with over 31 million members.

Secondly, the karma-social associations in the central and local, research associations and the development of science, technology ..

Thirdly, non-governmental organizations, and businesses of all economic sectors.

#### **2.2.2.3. Subjects are obliged to implement the policy**

Subjects are obliged to implement the policy are affected groups directly or indirectly affected by the policy.

Firstly, subject to the direct impact of poverty reduction policies. This is the main target groups in the process of policy implementation need to be concerned because the next will determine the

effectiveness of policies. Normally, if policy making, affecting this population in favor of and in accordance with the conditions of their practice, they will actively participate in and vice versa. So when organizations implement poverty reduction policies, should have the plans in order to attract their participation in the process of policy implementation.

Second, the object of the indirect effects of poverty reduction policies. These are the objects that the policies being implemented are not affected directly but also more or less affected by this process.

### ***2.2.3. The form of policy implementation***

Along with those expected on the steps and prepare adequate conditions for the implementation of policies for poverty reduction, the government agencies at all levels should study selection form policies implemented to suit the actual conditions . Forms implementing poverty reduction policies are understood as ways of organizing to put policies in social life in order to solve poverty for those policies as requested by management. In fact to implement poverty reduction policies implemented many forms, but there are some achievements represent major form below:

#### ***2.2.3.1. Forms which implement policies from the top down***

When the state poverty reduction policies are issued, prior to deployment, the State actively plan, prepare the material conditions, technical and human resources to implement the policy. During the implementation of state policies for poverty reduction initiative in check and urging by the existing technical means or by cadres and civil servants around. Upon detecting discrepancies policy content, implementation plan and work implemented, the state timely adjustments and supplements to make policy implementation activities go as orientation. If the adjustment and supplementation with difficulty from the policy object, then the state can still use public power to perform. Thereby create a focus, high consistency in the process of implementing poverty reduction policies.

#### ***2.2.3.2. Forms which implement from the bottom up***

With this method, after the policy is issued, the local authorities (provinces, districts and communes in which especially at commune level) will be based on the functions, duties and exercise their powers to Home the policies implemented brought to life at the request of their local development. The implementation steps are carried out under general principles, scientists from planning to implementation, evaluation and review the implementation of poverty reduction policies.

#### ***2.2.3.3. Combination style***

From the advantages and disadvantages of the two forms of policy implementation and poverty reduction a top-down form of implementation of poverty reduction policies from bottom to top, in the practical implementation of poverty reduction policies in our country there is now the third form the combined form. It is a combination of form implement policies from the top down and from the bottom up in order to make the policy process and to ensure the management factors, operating smoothly from top to bottom, just makes too implementing policies consistent with the poverty reduction objective conditions and subjective of the locality where the policy implementation.

To perform effectively this form required to deploy multiple conditions, including prerequisite is qualified and capable of team officials at grassroots level must meet certain requirements and the participate actively, proactively and effectively the objects of policy.

### ***2.2.4. Criteria for evaluating the implementation of poverty reduction policies***

For the evaluation of the policy implementation process is objective and truthful matching the actual conditions and circumstances, this operation must be carried out based on two basic criteria groups:

The first group assessment criteria common policies. Group criteria include the following criteria:

- Effectiveness of policies: This criterion reflects the likely impact on society of poverty reduction policies, it expressed on these aspects; effect under the regulation, effective competence management, spatial effect and effect over time the impact of the policy.

- The results of the implementation of policies: Are the policies of poverty reduction benefits that brings to society, especially the objects of policy. This criteria may include the results achieved against objectives and policies toward creating positive changes to reduce poverty in areas where policies are being organized to implement.

- The effectiveness of the policy: Are the results achieved compared with expenses incurred to implement the policy. This result is in fact dependent on many different factors such as the potential of policy beneficiaries, implementation method, the natural conditions of nature and the ability to participate in the policies of the policy beneficiaries ...

The second is the group of additional criteria. Group criteria include the following criteria:

- Organizational skills and policies implemented by the state bodies and the staff and employees: This is considered the criteria reflect the management level of the responsible authorities in the implementation process and the ability to coordinate the implementation of civil servants while performing the steps in the process of implementing poverty reduction policies. This criteria reflects the possibility of establishing the specific action plans, motor skills, convincing the participants in the policy process of the implementation team, the ability to mobilize resources and provide provide resources for each phase implementation of policies, skills test, monitor the implementation process of superiors with subordinates ....

- The ability to mobilize the participation of other stakeholders in the implementation of policies: This criterion indicates the extent and effectiveness of the involvement of the mass organizations, businesses, the science and society in the process of implementing poverty reduction policies. The process of implementing poverty reduction policies need to join hands, and at the heart of all levels of government, community organizations, businesses, scientists and subjects of the new policy brought results and effectiveness as desired. Therefore the active participation of the social community in the process of implementing poverty reduction policies would be to generate money for the results and effectiveness for the policy process.

- The ability to mobilize resources and forms of resource mobilization for the implementation of the policy: resources are conditional guarantee policy may exist and effective in practice, if a lack of resources, the the organizations implementing poverty reduction policies would be difficult to achieve the policy objectives set out. Implementation resources need to be mobilized from various sources and must be provided adequate time during the implementation of the policy. This criteria to measure the ability to mobilize and resource mobilization methods and how to provide resources of stakeholders implementing policies in each period, each period of the policy implementation process.

- The change of life and social services: The objective of poverty reduction policies are pushing back poverty, improving the material life and spirit of the people, increase the accessibility and use of services minimum for the poor in the society and the change of infrastructure (electricity, roads, schools, stations, the telecommunications services ..) and the production conditions of the poor. Therefore, when assessing the poverty reduction policies need to build this criteria and to consider it as an important criterion for comparison, reference and evaluation among policy performance with the aim of that policy is towards.

#### **2.2.4. Criteria for evaluating the implementation of poverty reduction policies**

For the evaluation of the policy implementation process is objective and truthful matching the actual conditions and circumstances, this activity should be conducted based on the following basic criteria:

Firstly, the validity of the policy: It is the criterion to reflect the likely impact on society of poverty reduction policies, it expressed on these aspects; effect under the regulation, effective competence management, spatial effect and effect over time of policy impact

Secondly, organizational skills and policies implemented by the state bodies and the staff and employees: This is considered a central criterion to reflect the level of management of the agency responsible for the implementation process and the ability to coordinate implementation of civil servants while performing the steps in the process of implementing poverty reduction policies.

Thirdly, the ability to mobilize the participation of other stakeholders in the implementation of policies: This criterion indicates the extent and effectiveness of the involvement of the mass organizations and the entire society in the process of implementing poverty reduction policies.

Fourthly, the ability to mobilize resources and forms of resource mobilization for the implementation of the policy: resources are conditional guarantee policy may exist and effective in practice, without source the process of organizational capacity to implement poverty reduction policies would be difficult to achieve the policy objectives set out.

Fifthly, the results and effectiveness of the policy: Are the policies of poverty reduction benefits that society gives special objects of policy.

#### **2.3. Process of implementation of poverty reduction policies**

The process of implementing poverty reduction policies take place in the long and involved many organizations and individuals, thus to poverty reduction policies bring results and the desired effect of the state as well as for policy beneficiaries, the implementation process should be conducted in a scientific process, reasonable and consistent with the objective conditions of the policy process. In the course of our study that the implementation of poverty reduction policies must be implemented in accordance with the following procedure:

##### **2.3.1. Develop a plan to implement the policy.**

Planning and implementation of poverty reduction policies built before putting policies into social life. Planning and implementation of poverty reduction policies at any level will be the agency in charge of construction at that level. After the decision adopted, the policy implementation plan will provide a legal value, are entities have implemented policies and the object of serious policy implementation.

##### **2.3.2. Dissemination and advocacy on policy**

Disseminate and propagate good policies enables policy beneficiaries and people understand the purpose and requirements of the policy; the soundness of policy in certain conditions and circumstances and on the feasibility of the policy ... so that they voluntarily comply with the requirements of state management.

Dissemination, advocacy, campaigning implement policies for poverty reduction is done regularly and continuously, even when the current policy is, to everyone who needs them propaganda always reinforce confidence in the policies and positive involved in policy implementation. Dissemination and policy advocacy in many forms such as direct contact and exchange with the audience is poor, poor households through the mass media, etc. Depending on the requirements of the management bodies, the nature of the policy and specific conditions that may choose the form of propaganda, campaigning to suit the existing conditions of the agencies and their units.

### **2.3.3. Mobilizing resources to implement policies**

Resources to implement poverty reduction policies are the conditions needed for people, capital and other means necessary to ensure the implementation of the policy objectives set out. The process of policy implementation requires a lot of different resources, which must include the following resources:

- Human resources (manpower.).
- Financial sources.
- Resources for Science and Technology:
- Resources on natural resources:

To implement poverty reduction policies need to manage and exploit efficiently and saving resources, so that resource is a resource to help Vietnam can erase hunger and solve poverty, ensuring sustainable development in the future.

### **2.3.4. Assign and coordinate the implementation**

Poverty reduction policies when implementing it requires and needs a hand to perform the various levels and branches in many different fields. So the actors involved in this process are plentiful including beneficiaries of policies (poor, poor, poor communes and poor districts and poor areas), the authority in the state apparatus, businesses, political organizations - social, trade unions, associations even scientists and other objects in communal hoi.vv

### **2.3.5. Monitoring, inspection, monitoring policy implementation process**

Check, closely monitoring the situation of policy implementation has additional time, improving policies, just reorganize the implementation of policies and help improve policy performance of the engine State agencies from central to local levels.

The subject test, monitoring the process of implementing poverty reduction policies are the state agencies from the central to grassroots levels. However to ensure the objectivity and accuracy of test results evaluation, the process also requires the involvement of the mass organizations, even the objects of policy. Having thus ensuring the new democracy in the process of implementing the policy.

## **2.4. Factors affecting the implementation of poverty reduction policies**

In the course of organizing the implementation of policies for poverty reduction, many factors influence positively or negatively on the process. Among the factors, including the factors that belong to the state, belongs to the main elements of policy objects and the socioeconomic factors other. In this study, we focused more on the negative aspects of the impact of those factors in the implementation of policies for poverty reduction in Vietnam today.

### **2.4.1. These elements belong to the subject policy implementation**

*Firstly, organizational capacity, management of state and cadres and civil servants at all levels in addressing poverty alleviation.*

*Secondly: The advocacy of policy.*

*Thirdly: Economic conditions and resources for implementation of the state policy.*

### **2.4.2. These elements belong policy objects**

*First: Resources of the policy object:*

*Second: Education and ability to participate in the labor market.*

### **2.4.3. Other Social Factors**

*Firstly, the demographic factor.*

*Secondly: The natural conditions - nature.*

*Thirdly: Infrastructure for Development*

*Fourthly: Disaster and Risk*

*Fifthly: Customs and habits, and living habits of the people.*

## **2.5. Experience in implementing poverty reduction of a number of countries around the world and in Vietnam**

### **2.5.1. The experience of some countries**

For poor countries in the world, national governments are interested in the construction and implementation of poverty reduction strategies consistent with their own conditions. In this general context, Vietnam has implemented a series of measures to attack poverty. However, the process of implementing these measures has revealed many problems that need solving. Therefore, to organize the implementation of policies for poverty reduction by 2020, in addition to the evaluation system existing poverty reduction policies, the research experience implementing policies for poverty reduction of the country on world is necessary and urgent. The mode of implementation of the poverty reduction policies around the world and some regions of Vietnam will be valuable experience for Vietnam in the current period.

#### **2.5.1.1. In China**

#### **2.5.1.2. In India**

### **2.5.2. The experience of some regions in Vietnam**

#### **2.5.2.1. The experience of the North and Central Coast**

#### **2.5.2.2. The experience of the Highlands**

### **2.5.3. Lessons for the Northwest Province in the implementation of policies for poverty reduction**

By studying the specific case, the thesis draws some lessons can be found in the implementation of poverty reduction policies for the Northwest Province in the near future.

Firstly, implement a coordinated and effective poverty reduction policies.

Secondly, focus on investing effectively in poor communes.

Thirdly, strengthen the mobilization and organization of all sectors of society to support the poor.

Fourthly, promote cooperation between the government in poverty reduction with the financial institutions to mobilize resources for poverty reduction.

Fifthly, creating opportunities for the poor are not necessarily supported by loans in the form of incentives.

Sixthly, should focus on advocacy especially poor people actively involved in the policy implementation process.

### **Summary chapter 2**

Poverty is one of the obstacles to the process of socio-economic development. Depending on the perspective of socio-economic development and poverty reduction policy in each country in which the government will have ways to perform the work of its own poverty reduction. The trend of the country's current socio-economic development is a sustainable way. Policies for Poverty Reduction is one of the important components of socio-economic development in order to overcome the negative effects of poverty to the process of socio-economic development. Policy is often part of the Poverty Reduction Strategy of socio-economic development of each country.

The implementation of poverty reduction policies in our country today is placed in the context of the socialist-oriented market economy is in transition and restructure the economy, thus improving results and the effectiveness of poverty reduction is an urgent need to make the foundation and impetus for the process of industrialization and modernization of our country to 2020 and subsequent years.

Contents Chapter 2 outlined the basics theoretical poverty, the characteristics of poverty in Vietnam, the organization implementing poverty reduction policies and theoretical frameworks of



organizational processes implemented implementation of poverty reduction policies. In this chapter, the thesis also studied, analyzed the experiences of some countries in the world and some economic regions of Vietnam have had some success in poverty reduction and lessons learned for Vietnam Northwest in general and in particular in the current poverty reduction.

From the theoretical research on poverty reduction and implementation of poverty reduction policies include implementation process, the factors affecting the process of policy implementation in this program will be the basis, the foundation and critical conditions to research, analyze the situation implementing poverty reduction policies northwest in the next chapter.

### **Chapter 3: REALITY IMPLEMENTATION POLICY POVERTY REDUCTION IN THE NORTHWESTERN PROVINCE**

#### **3.1. An overview of the situation of poverty in the North West Province**

##### **3.1.1. Natural conditions, socioeconomic northwestern provinces**

###### ***3.1.1.1. Natural conditions***

Situated in the north western end of the country, the Northwest territory stretching between 20018'B (Yen Thuy district, Hoa Binh province) to 220048'B (Lai Chau province Phong Tho district), from 102009'D (districts Muong Nhe, Dien Bien province) to 105052'D (Lac Thuy district, Hoa Binh province).

Northwestern is a special strategic areas of socio-economic, security and defense. Region has great potential in hydropower, minerals, tourism, border economic, agriculture and forestry. This area has the lowest population density in the country, which is inhabited by ethnic groups, Thai, Tay, Nung, Mong, La Hu, Si La, Ha Nhi, Lo Lo ... civilians are not distributed are mainly concentrated in the type colony forming the village of a tribe associated with living habits, productive diversity of ethnic minorities.

###### ***3.1.1.2. Conditions on population***

Northwest is the focus of the majority living ethnic minorities accounted for 80.56% ratio of the total population. On the other hand the eastern region has specific ethnic minority community living with nomadic lifestyle, customs and practices of multi-ethnic diversity. Backward production level mainly self-sufficient, productive labor practices of the people are slowly changing, self-produced agricultural products, on their own are common, slow to adapt to the economic mechanism market.

###### ***3.1.1.3. Conditions on infrastructure***

Northwest has the infrastructure system in most kinds of weak and lacking in our country. Although the system of regional infrastructure has made substantial changes toward modernization and more complete, but considering the pace of overall development of the country's northwest remains underdeveloped areas more.

###### ***3.1.1.4. Poverty Situation in Northwestern***

According to a survey in 2013 there were 1,797,889 households (351,221 households decreased compared with 2012) of poor households, accounting for 7.8%, while Northwest has the highest poverty rate is 25.86%, by 2014 the proportion of households Although poverty has not significantly improved but around 23.91%. Clearly the issue of poverty reduction Northwest is facing many difficulties, with specific characteristics of the region due to unfavorable geographical location, population scattered mainly DTTS, low literacy levels, lack of infrastructure. These adverse requiring northwestern provinces should have policies and sustainable poverty reduction measures to bring socio-economic development of the North West in the near future.

### **3.1.2. Potential and challenges in poverty reduction in the North West Province**

#### ***3.1.2.1. The potential of the Northwestern Province in poverty***

Northwest with separate potentials of economic conditions - the society of the Northern mountains to the recent years of economic Northwest has made important changes. Investment in development and construction of infrastructure socioeconomic Northwest area was government priority for many years. Capital investment has focused on investing in important projects for economic restructuring, the first step is to exploit the potential and advantages of land, mineral resources, creating new competition for the products and economy of the province.

#### ***3.1.2.2. The challenge of poverty reduction in the North West Province***

*a. Northwestern is the poverty rates are the highest in the country, mainly from ethnic minorities.*

*b. Production underdeveloped and low economic growth.*

*c. Health, education and quality of human resources are limited.*

Despite the potential and advantages, but far northwest remains poor areas of the country on the objectives of manufacturing, agriculture and construction to service activities and tourism. Per capita GDP is only about 40.7% of the average level of the country. 2013 more promising situation, but GDP per capita is still very low in this region is about 12.83%. Income and Northwest residents living far the lowest in 8 economic regions in the country and the distance that gets worse over time.

### **3.2. Implement policies to reduce poverty in the Northwestern Province**

In this context, the author of the thesis assessment on the implementation of poverty reduction policies in the northwestern province based on organizational processes implemented policies (which the author has presented in Chapter 2) includes steps : issuing documents and implementation plan; common policy advocacy; organizational apparatus and assigned to coordinate implementation; mobilize and allocate resources for implementation of poverty reduction policies; inspection, monitoring and evaluation process of implementation. From these studies, assessed that the author points out the advantages, limitations and causes of these constraints, as the basis for developing solutions and recommendations in Chapter 4.

#### **3.2.1. Situation policy implementation**

##### ***3.2.1.1. Issued documents and implementation plan***

Lai Chau issued 61 written to the organization to implement poverty reduction policies which issued 7 Provincial Committee text, Provincial Council issued three thematic resolutions, PPC issued 51 written guidance, guiding give.

Dien Bien Provincial Committee has issued 05 documents, People's Council issued 03 Special Resolution, PPC issued 14 Decisions related to poverty reduction and writing dozens of departments and agencies related to instruction guide and direct the process of implementing poverty reduction policies.

Son La Province Executive Committee 8 Text, People's Council issued two thematic resolutions, PPC issued 16 decisions.

Hoa Binh, Provincial Executive Committee of 04 resolutions, issued 11 Provincial People's Committee Decision text dozens of departments, agencies and People's Committees of districts, towns and cities to organize implement poverty reduction policies . [67]

##### ***3.2.1.2. Disseminating propaganda policy of poverty reduction***

The dissemination and propagation of poverty reduction policies in the Northwest were dressed authors present practical detail from the provinces: Dien Bien; Son La; Lai Chau and Hoa Binh

##### ***3.2.1.3. Organizations assigned apparatus and coordinated implementation***

PPCs has directed the establishment, strengthening and consolidating the Poverty Reduction Steering Committee from the provincial to commune, established the inter-agency working groups and task assignment for departments and agencies to implement:

#### ***3.2.1.4. Mobilizing resources disposition policy implementation***

With socio-economic characteristics of the Northwest region, the local authorities in the region have been actively mobilizing and using its own resources and with support from the state budget to organize implement poverty reduction policies locality. This resource focuses on two types of basic resources are; (i) resource of natural resources, infrastructure, science and technology applications and (ii) capital resources

#### ***3.2.1.5. Testing, monitoring and assessment policy implementation process***

In the years from 2006 -2010 and 2011-2015 period, local authorities issued the inspection plan and supervise the implementation of policies for poverty reduction in the localities of their province and urging steering committee branches, local organizations actively involved in the inspection process monitoring policy implementation.

### **3.2.2. The results of implementing some components of poverty reduction policies**

As stated, the policy of poverty reduction has been implemented locally with different content. However, when assessing the results of the policy implementation process, we only focused on 3 major components of poverty reduction policies are being implemented in the Northwest are: Credit offers supported life and production for the poor (i); improving literacy levels, developing education and training for the poor (ii); Support for medical, health care for the poor (iii); Developing infrastructure, extend the application of science and technology for the poor (iv).

### **3.2.3. Evaluation of the implementation of policy work in the Northwestern province**

#### ***3.2.3.1. The advantages***

The process of organizational implementation of poverty reduction policies in the Northwest has some advantages:

Firstly, the North West province has issued many guidelines, the implementation of poverty reduction policies in their locality.

Secondly, the State management agencies at local and team work has focused on poverty reduction policy advocacy and legislation to the community and directly to the poor.

Thirdly, the assignment of coordinating policy implementation has been the focus of local implementation.

Fourthly, in the process of implementing organizations, in addition to the efforts of governments at all levels from provincial to commune known to use, assemble and mobilize participation results and effectiveness of the organization unions in the process of policy implementation from planning stages to check and supervise the process of implementing organizations.

Fifthly, mobilizing all resources for the implementation of policies for poverty reduction and sustainable fast.

Sixthly, the majority of poor people to raise awareness and responsibility over their lives.

Seventhly, strengthen decentralized management between the provincial, district and commune levels, coupled with capacity and accountability of staff at all levels and sectors

Eighthly, the monitoring and supervision of the implementation policies have been carried out regularly with the participation of the various levels and branches

Ninthly, the organization implementing poverty reduction policies have achieved certain results.

### ***3.2.3.2. These limitations exist***

Besides these advantages, the organization of policy implementation in Northwest still has some exist, restrictions need to be overcome in the near future. These exist, such restrictions include:

Firstly, the results are not strong poverty reduction, wealth disparities - poverty between regions and population groups has not been narrowed.

Secondly, untapped, mobilize more local resources, not to promote the internal resources of the people and the poor;

Thirdly, the decentralization to local authorities plan allocate specific resources to poverty reduction goals is a perfect fit

Fourthly, the participation of these organizations in localities do not really effective.

Fifthly, the implementation of poverty reduction policies are implemented mainly in the form of top-down with the mechanisms and programs, rigid plan

Sixthly, do not have any legal documents yet to be issued to state regulations on organizational processes to implement public policy in general and in particular poverty reduction policies.

Seventhly, the inspection supervision was done although often but not really effective.

### ***3.2.3.3. The cause of these problems and limitations***

As research on the status of implementation of poverty reduction policies in the Northwest Province, the author has pointed out shortcomings and limitations as analytical in Section 3.2.3.2. By comparison, the reference to the factors affecting the implementation of poverty reduction policies presented in Section 2.4 of Chapter 2 shows, the existence, above limitations stem from the basic causes:

*Firstly, the natural conditions, economic and social.*

*Secondly, about the compatibility of policies for poverty reduction.*

*Thirdly, on the work of advocacy.*

*Fourthly, the layout resource.*

*Fifthly, the management of the state.*

### **Summary of chapter 3**

In chapter 3 Essential thesis is the natural characteristics, population and socio-economic situation as well as the characteristics of people's poverty Northwestern. Also, the author has quite complete statistics specific results in the process of implementing poverty reduction policies in the Northwestern in the past period. Data are collected in each province fairly detailed in each step of the process implementation together with assessing the impact of policies on the lives of poverty reduction, and production activities of the poor.

In the survey data, field survey in four provinces of the author plus secondary survey data, the thesis clearly shows the status organizations implementing poverty reduction policies in the Northwestern in recent years. Combined with the analysis and assessment of the status of the organization to implement poverty reduction policies in the Northwestern, the authors also assessed the implementation process based on a theoretical framework of the steps in the process of organizing policy. Thereby the author also points out the advantages and limitations and shortcomings of the existing causes, limitations in the process of organizing the implementation of poverty reduction policies in the Northwestern in the past period as the basis for proposing measures to implement poverty reduction policies in chapter 4.

## Chapter 4:

### OPINIONS AND IMPLEMENTING MEASURES POLICY FOR SUSTAINABLE POVERTY REDUCTION IN THE NORTH WEST PROVINCE 2020

#### 4.1. The views and requirements in the implementation of policies for poverty reduction in the North West Province

##### 4.1.1. Views on the implementation of policies for poverty reduction

###### 4.1.1.1. *Content Innovation, policy development methods for poverty reduction*

###### 4.1.1.2. *Ensuring sustainable poverty reduction*

###### 4.1.1.3. *Ensure integration of objectives and preferences in poverty reduction policy*

###### 4.1.1.4. *Ensure mounting poverty reduction policies in a specific program*

##### 4.1.2. The requirement in the implementation of policies for poverty reduction

To organize implement poverty reduction policies at Northwest yield results and effectiveness should follow the following basic requirements:

###### 4.1.2.1. *The requirement to comply fully and policy goals*

###### 4.1.2.2. *Requirements to ensure proper implementation of the policy process*

###### 4.1.2.3. *The requirement to ensure scientific and rational*

###### 4.1.2.4. *Request bring real benefits for beneficiaries*

#### 4.2. Solutions to improve policy performance in poverty reduction in the northwestern provinces to 2020 and subsequent years

##### 4.2.1. Common solutions

###### 4.2.1.1. *Focus on developing education, training, infrastructure construction*

*Firstly; Regarding education and training:*

*- Actively implementing educational policies, training for younger generations.*

*- Increase investment for basic education system and building more boarding schools, boarding sufficient to meet local officials.*

*Secondly, on the construction and development of infrastructure:*

*- Enhancing the participation of citizens in the process of organizing the construction of infrastructure*

*- Mobilize and allocate resources effectively implemented:*

*- Implement effective decentralization of social policy as an investor:*

*- Establish organizational management, monitoring and supervision at the commune level:*

*- Implement effective social objectives have work, people have increasing income:*

###### 4.2.1.2. *Strengthening the relationship between the government and coordinate with other organizations in the implementation of socio-CT policies for poverty reduction*

In the process of implementing poverty reduction policies at local, government and social institutions need to work together in the following basic content:

Firstly, in common coordination and communication on local poverty reduction policies.

Secondly, in seeking to coordinate measures to implement poverty reduction policies.

Thirdly, coordination in implementation of the policy division of poverty reduction.

Fourthly, the combination of the test track and supervise the implementation of policies on poverty reduction.

Fifthly, coordination in the evaluation process of implementing poverty reduction policies.

###### 4.2.1.3. *Focusing implementation and effective results of policies have a direct impact on the lives triep and living of the poor*

Firstly, capacity building for people and communities to promote the efficiency of the infrastructure investment necessary, step to take advantage of local, effective exploitation of natural resources. Initial development of production towards the production of goods small and medium farmers access services market production and sale of products favorably.

Secondly, perform synchronization of state policies issued to support the poor, such as credit support, support in health, education, agricultural - forestry and manufacturing support ... which a focus on implementing policies to create jobs, raise incomes, education and training, improving people's vocational training for sustainable poverty reduction under Resolution 30a / 2008 / NQ - CP. Are there specific support policies for the nation especially difficult in the province to gradually sustainable poverty reduction for ethnic groups.

Thirdly, ensure implementation of publicity, democracy, strengthen consultation at grassroots level in the villages and the people in the implementation of programs and projects of poverty alleviation. To decentralize the organization and management of the program to the communes as the identification of objects, plan and monitor the results of implementation.

Fourthly, mobilization of resources to implement the policy include the central budget, local budgets, community mobilization, international ... Need to focus on implementation of the following policies:

- *Preferential credit for the poor:*

- *Extension - extension and support the development of production and trade development:*

- *Support for housing for the poor:*

- *Support for health care for the poor and near poor and ethnic minority people in the communes in the region difficult, extremely difficult under the provisions of the Prime Minister:*

- *Support for education for the poor:*

- *Vocational training for the poor:*

- *Legal aid for the poor:*

#### ***4.2.1.4. Developing and strengthening key staff grassroots level scientific staff - technical, and the intelligentsia are ethnic minorities***

- Promote the training and retraining of key staff level.

- Gradually build scientific staff - technicians, leaders, managers, in particular officers from ethnic minorities.

#### ***4.2.1.5. To accelerate the implementation of the policy of national unity in Northwestern provinces***

Northwest is a multi-ethnic region, therefore, the building block of solidarity is one of the issues that the Party and State is very interested. We succeeded in maintaining national unity in the bond of the struggle to save the country before. Therefore, in the context of international integration, the hostile forces have been sabotage, it must also further improve the spirit and tradition of this precious unity. Activities ethnicity and religion in the Northwest are also complicated.

Enhance the role of village heads, village heads, heads of families, who have a reputation in the ethnic minority. However, in our opinion to the policy of the Party and State's policy to bring more efficiency, even people have to overcome psychological dependence, waiting and inferiority. And so the role of the elderly and chiefs more important.

#### **4.2.2. The specific measures in the process of implementing poverty reduction policies**

##### ***4.2.2.1. Innovation in issuing documents and implementation plan***

- *Firstly, the formulation and promulgation of the text:*

- *Secondly, the plan implementation:*
- *Firstly, to strengthen the active participation of the Effective Policy objects (the poor) in the process of developing implementation plans.*
- *Secondly, create a mechanism for coordination between the provincial government, district in developing the implementation plan.*

***4.2.2.2. Strengthening the dissemination and propagation of poverty reduction policies and legal assistance to the poor***

- Firstly, to promote the communication on poverty reduction for people to understand poverty reduction is the responsibility of the political system, the society and the people.
- Secondly, uphold the silent shock and responsibilities of the executive committee of the National Front Party, the Youth Union, the woman, Farmers Union, Veterans ... the level of advocacy, communication on policy implementation.
- Thirdly, strengthen legal assistance for the poor, ethnic minorities so that they raise awareness and knowledge of the law to avoid falling into the vulnerable groups in society.

***4.2.2.3. Implement measures to mobilize maximum resources for poverty reduction in the Northwestern***

- The first is to re-plan residential disadvantaged areas, remote, poor village in the northwest.
- Second, the implementation of the provisions of socialization and community responsibility in poverty reduction.
  - - For business organizations and individual business enterprises:
  - - For commercial banks:
- Third, diversify resources policy implementation.
- Fourth, the implementation of the diversification of methods and forms of financial support:

***4.2.2.4. Develop a mechanism to coordinate the implementation of policies on the basis of powers coupled with obligations and responsibilities of the implementing agencies***

- Firstly, formulate a mechanism for local initiative in implementing integrated programs, the DA in the province to create breakthrough change in production development.
- Secondly, raise further the participation of citizens in the implementation of poverty reduction policies by; State investment, building support, and people contributed by labor and material resources available locally.
- Thirdly, the implementation of flexible decentralized management, in accordance with the qualifications and abilities of each level, building mechanism for coordination between stakeholders.
- Fourthly, strengthen coordination among agencies, departments, local associations in the study, construction as well as guiding and monitoring implementation of poverty reduction policies in their locality .
- Fifthly, the consolidation of the Steering Committee for poverty reduction at all levels, maintain regular activities of the steering committee; clearly define the functions and tasks of each member and accountability in implementation;

#### ***4.2.2.5. Strengthen inspection and monitoring the implementation process***

- Firstly, public programs and projects, especially financial resources to implement the policy.
- Secondly in the implementation process monitoring, necessarily with the involvement of representatives of organizations at local, especially the involvement of representatives of people like; village elders and chiefs or representatives of the poor and poor households.

#### **Summary of chapter 4**

With purpose built system solutions to improve policy performance sustainable poverty reduction in the country's northwest province in the period from now to 2020 and subsequent years, which brings mainly on the steps in the process of implementing poverty reduction policies and proposals to state agencies competent central and local authorities, the social and political organizations in the localities of policy mechanisms, to organize the implementation of policies for poverty reduction and effective results on the northwestern provinces. Based on a theoretical basis for the implementation of policies for poverty reduction in Chapter 1 and the evaluation of the implementation status of policies for poverty reduction in the North West province in recent years related to the specific conditions of the Northwest, in this chapter the thesis focuses on the following specific content:

1. On the basis of reasoning based on the rationale of implementing poverty reduction policies, experience in implementing poverty reduction in some countries in the world and some areas of Vietnam, based on practical research implementation poverty reduction policies in the North West Province in recent years, linked to the specific conditions of the North West Province, the author said that the renewal of how organizations implement poverty reduction policies in the North West province in time is necessary to objectively and scientifically to enhance results and effective implementation of poverty reduction policies in the northwestern province contribute to sustainable poverty reduction, improving accountability in the implementation of poverty reduction policies and practices more economy and combat waste in the management and use of state resources, donors and society for poverty reduction. With their research results, the thesis also shows that in order to organize the implementation of policies for sustainable poverty reduction in the Northwest, the work should be done based on organizational perspectives and requirements for implementation poverty reduction policy in place in the northwest provinces are currently being considered are areas where the development of socio-economic conditions particularly difficult compared with other regions of the country.

2. According to the author, the general economic context - the society of Vietnam in recent years coupled with the intrinsic factors affecting specific characteristics of such regions have been analyzed in chapter 2 (section 2.4) , the results of poverty reduction policies in the North West Province in recent years is very encouraging. However, considering the potential for socio-economic development of the North West province, the attention of the Party and State for poverty reduction in the Northwest, the results have yet to achieve the expectations of the State of the poor and the Northwest, this strategy affecting the socio-economic development of the country and of each locality in the region. On the basis of an assessment of the advantages, shortcomings and limitations and the cause of the limitations exist in organizations implementing poverty reduction policies in the Northwest with the views and requirements in the implementation of policies for poverty reduction , the thesis was to build a system of solutions suited to the objective conditions and the subjective and



the work area to help implement poverty reduction policies in the northwestern province achieve higher, more sustainable to 2020 and the following years.

3. With the aim of implementing sustainable poverty reduction policies in the North West province to 2020 and subsequent years, the thesis was to study the construction system solutions are based on theoretical and practical to improve High results of poverty reduction policies in the North West province. System solutions study authors, build solutions into two groups as follows.

- Group common solutions (5 large solution). These are general solutions to poverty suit specific conditions of the region needs to be done to apply to all local Northwest.

- Group-specific solutions applicable to the steps in the process of implementing poverty reduction policies (5 large solution). These solutions are based on the sequence of steps in the process of implementing poverty reduction policies have been built dissertation author in chapter 2 (section 2.3). These solutions are aimed to produce results and the highest efficiency for the implementation of poverty reduction policies in the Northwest one hand it fits with the steps in the process of implementing the other hand, matching characteristics specific conditions of regional practices based on results-oriented output of the process of implementing poverty reduction policies.

These solutions are research recommendations in chapter 4 has solved 4th question was addressed by the research questions. On the other hand it also proves 3rd hypotheses are reasonable and consistent with the specific conditions of the North West province.

4. With characteristics of regional economic conditions - social difficulties in the country, to sustainable poverty reduction in the northwest provinces, requiring hand the positive and effective of the Party Committees , local governments and the political system, including the proactive participation of the poor in the process of implementing policies aimed at sustainable poverty reduction in the North West Province in the near future also offer thesis several petitions with the state agency authorized from the central to grassroots and social organizations of the CT-policy mechanisms such as the policy priority for the most disadvantaged areas, priority Poor's first ethnic minority communes and villages particularly difficult in the Northwest; ensure resources for the implementation of poverty reduction policies; strengthening state management, assignment of responsibilities specific level; coordination mechanisms between government organizations CT-XH ...

## **RECOMMENDATIONS AND CONCLUSIONS**

### **1. A number of recommendations of the thesis**

#### **1.1. For Congress**

#### **1.2. For Government**

#### **1.3. For local**

#### **1.4. For socio-political organizations at local**

### **2. Conclusion**

The thesis, entitled, "Implementing policies for poverty reduction in the North West Province 2020", has focused on the problems of theory and practice in organizing the implementation of poverty reduction; analyze the situation and take steps in the process of implementing poverty reduction policies implemented in the Northwest in recent years. On that basis, offering solutions to improve policy performance in Northwest poverty reduction by 2020. The specific content that has been achieved is the thesis:

First summary is codified and analyzed the theoretical issues of implementing poverty reduction policies, which focus on building and analyzing the theoretical framework of organizational processes to implement poverty reduction policies. This has important implications as the basis for the assessment of organizational processes to implement poverty reduction policies in

the Northwest in recent years and on that basis the review, an assessment of the advantages term die in the process of organizing the implementation of poverty reduction policies in the northwest.

The second is through the research experience of poverty reduction in some countries in the world to draw lessons learned and recommendations apply to the Northwest Province in improving outcomes and organizational effectiveness implementation of policies for poverty reduction and socio-economic development.

Thirdly, through a survey, survey and interview people, managers at all levels, combined with the secondary data to analyze and assess the status of organizations implementing poverty reduction policies from the stage of planning institutions to implement checks to assess the implementation of poverty reduction policies in the Northwest in recent years. Each stage in the process of implementation are evaluated based analysis two aspects are the results achieved and the limit from there exists a basis for building system solutions to improve results implementation of poverty reduction policies for the North in the near future

The fourth is from the generalization of opportunities, challenges and trends in Northwest Poverty Reduction to provide views and orientations, requirements and solutions to enhance organizational outcomes implementing poverty reduction policies and directions Sustainable poverty reduction, accelerating the pace of socio-economic development in the Northwest in time to contribute along with the successful implementation of the country's industrialization and modernization by 2020 and the target-economic XH subsequent years.

The research results of the thesis were interpreted, demonstrate and answer fully and deeply studied the question posed at the beginning of the thesis. With these analyzes, demonstrated on the status of implementation of poverty reduction policies in chapter 3 with the solution in Chapter 4 shows that the research hypothesis of the thesis has been shown to be suitable. The purpose of the thesis research has gained in both the theory and practical implementation of poverty reduction policies in the North West province.

The study was carried out on the range of space and time but system wide statistics consistently and continuously. However, despite many attempts to complete the thesis but also not free from shortcomings. The author looks forward to receiving the comments of the experts, researchers at home and abroad to improve and further develop their research. /.

- **List of works published by the author related topics**
- **References**
- **Appendix**